

## **REQUEST**

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

| For receive ffice use only                                   |
|--|
| International Application No.                                |
|  |
| International Filing Date                                    |
|  |
|  |
| Name of receiving Office and "PCT International Application" |

|  | Applicant's or agent's (if desired) (12 charact  | file reference<br>ers maximum) SMC 60562/WO                                    |  |  |  |  |
|--|--|--|--|--|--|--|
| Box No. I TITLE OF INVENTION PROCESS FOR THE PREPARATION OF PHOSPHITYLATION AGENTS   |  |  |  |  |  |  |
| Box No. II APPLICANT This perso  | n is also inventor   |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal ent<br>The address must include postal code and name of country. The country of t<br>Box is the applicant's State (that is, country) of residence if no State of residen  | he address indicated in this   | Telephone No.<br>+44 161 740 1460  |  |  |  |  |
| Avecia Limited   |  | Facsimile No. +44 161 795 6005   |  |  |  |  |
| Hexagon House<br>Blackley,   |  | Teleprinter No.  |  |  |  |  |
| Manchester M9 8ZS United Kingdom   |  | Applicant's registration No. with the Office                                   |  |  |  |  |
| State (that is, country) of nationality:  GB   | State (that is, country) GB  | of residence:  |  |  |  |  |
| This person is applicant all designated for the purposes of:   |  | the United States of America only the States indicated in the Supplemental Box |  |  |  |  |
| Box No. III FURTHER APPLICANT(S) AND/OR (FURT  | HER) INVENTOR(S)   |  |  |  |  |  |
| Name and address: (Family name followed by given name: for a legal ent. The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State | This person is:  applicant only  applicant and inventor inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office |  |  |  |  |  |
| State (that is, country) of nationality: US  | State (that is, country) US  | of residence:  |  |  |  |  |
| This person is applicant for the purposes of:  all designated the United States all designated the United States   |  | the United States of America only the States indicated in the Supplemental Box |  |  |  |  |
| Further applicants and/or (further) inventors are indicated or   | on a continuation sheet.   |  |  |  |  |  |
| Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE   |  |  |  |  |  |  |
| The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:   |  |  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation.  The address must include postal code and name of country.)  Telephone No.  +44 161 721 1142   |  |  |  |  |  |  |
| REVELL, Christopher  | Facsimile No.<br>+44 161 721 5801  |  |  |  |  |  |
| Avecia Limited, Intellectual Property Group PO Box 42, Hexagon House   | Teleprinter No.  |  |  |  |  |  |
| Blackley Manchester M9 8ZS   |  |  |  |  |  |  |
| United Kingdom  Agent's registration No. with the Office   |  |  |  |  |  |  |
| Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.  |  |  |  |  |  |  |

Form PCT/RO/101 (first sheet) (March 2001; reprint July 2003)

| Sheet | No. |  | 2 |  |  |
|-------|-----|--|---|--|--|
|       |     |  |   |  |  |

| Continuation of Box No. III FOR HER APPLICANT(S) AND/OR (FURTHER) INVENDED (S)  |                                 |   |  |  |  |  |
|---|---------------------------------|---|--|--|--|--|
| If none of the following sub-boxes is used, this sheet should not   | t be included in the re         | quest.  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entit<br>The address must include postal code and name of country. The country of the<br>Box is the applicant's State (that is, country) of residence if no State of residence   | This person is:  applicant only |   |  |  |  |  |
| DINIZO, Stephen Edward  |                                 | applicant and inventor  |  |  |  |  |
| PO Box 152  |                                 | inventor only (If this check-box  |  |  |  |  |
| Mt Joy Road, Mt Pleasant  |                                 | is marked, do not fill in below.)   |  |  |  |  |
| TN 38474<br>USA   | i                               | Applicant's registration No. with the Office  |  |  |  |  |
| State (that is, country) of nationality: US   | State (that is, country, US     | ) of residence:   |  |  |  |  |
| This person is applicant for the purposes of:  all designated the United States all designated the United States  |                                 | the United States of America only the States indicated in the Supplemental Box  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residence  | address indicated in this       | This person is:  applicant only   |  |  |  |  |
|   | •                               | applicant and inventor  |  |  |  |  |
|   | :                               | inventor only (If this check-box is marked, do not fill in below.)  |  |  |  |  |
|   |                                 |   |  |  |  |  |
|   |                                 | Applicant's registration No. with the Office  |  |  |  |  |
| State (that is, country) of nationality:  | State (that is, country)        | of residence:   |  |  |  |  |
| This person is applicant for the purposes of:  all designated States all designated the United States   |                                 | the United States the States indicated in the Supplemental Box  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity<br>The address must include postal code and name of country. The country of the<br>Box is the applicant's State (that is, country) of residence if no State of residence  | address indicated in this       | This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.) |  |  |  |  |
|   |                                 | Applicant's registration No. with the Office  |  |  |  |  |
| State (that is, country) of nationality:  | State (that is, country)        | of residence:   |  |  |  |  |
| This person is applicant for the purposes of:  all designated States all designated the United States   |                                 | the United States the States indicated in the Supplemental Box  |  |  |  |  |
| Name and address: (Family name followed by given name; for a legal entity, full official designation.  The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office |                                 |   |  |  |  |  |
| State (that is, country) of nationality:  State (that is, country) of residence:  |                                 |   |  |  |  |  |
| This person is applicant all designated for the purposes of:  |                                 | the United States the States indicated in the Supplemental Box  |  |  |  |  |
| Further applicants and/or (further) inventors are indicated on another continuation sheet.  |                                 |   |  |  |  |  |

Form PCT/RO/101 (continuation sheet) (March 2001; reprint July 2003)

e must be marked.

The following designations are hereby made under Rule 4.9(a):

TES

## **Regional Patent**

- EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- EP European Patent: AT Austria, BE Belgium, BG Bulgaria, CH & LI Switzerland and Liechtenstein, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, HU Hungary, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, RO Romania, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, Cl Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GQ Equatorial Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line): HU Hungary ...... 🔀 PG Papua New Guinea AG Antigua and Barbuda AL Albania ID Indonesia RO Romania SC Seychelles KG Kyrgyzstan BB Barbados SD Sudan BG Bulgaria . . . . . . . . . . . . . . . . . KP Democratic People's Republic BR Brazil..... 🔀 BZ Belize..... 🔀 KZ Kazakhstan..... 🔀 SK Slovakia.... LC Saint Lucia CA Canada CH & LI Switzerland and Liechtenstein 🔀 LK Sri Lanka SY Syrian Arab Republic TJ Tajikistan..... TN Tunisia CR Costa Rica ..... LT Lithuania TT Trinidad and Tobago ...... CZ Czech Republic . . . . . . . . . . . LV Latvia ......... ES Spain ...... MN Mongolia GB United Kingdom GD Grenada ZA South Africa ..... **ZM** Zambia M GM Gambia Check-boxes below reserved for designating States which have become party to the PCT after issuance of this sheet: ■ BW Botswana ■ EG Egypt ■ □

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

Form PCT/RO/101 (second sheet) (July 2003)

If the Supplemental Box is not used, this sheet should not be included in the request.

- If, in any of the Boxes, except Boxes Nos. VIII(i) to (v) for which a special continuation box is provided, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No...." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:
- (i) if more than two persons are to be indicated as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Boxes No. III and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. II on "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;
- (vi) if, in Box No. VI, there are more than five earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.
- 2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.

Continuation of Box IV

GAIRNS, Raymond Stevenson LOCKE, Timothy John MAYALL, John MORPETH, Fraser Forrest PARLETT, Peter Michael SCHMITT, Maja SHELLER, Alan

All of Intellectual Property Group, Avecia Limited, PO Box 42, Hexagon House, Blackley, Manchester M9 8ZS, United Kingdom

| Sheet | No |  | 5 |  |
|-------|----|--|---|--|
|       |    |  |   |  |

| Box No. VI PRIORITY  | CLAIM  |  |  |  |  |
|--|--|--|--|--|--|
| The priority of the followin   | g earlier application(s) is here   | by claimed:  |  |  |  |
| Filing date  | Number   |  | Where earlier application              | is:  |  |
| of earlier application<br>(day/month/year)   | of earlier application   | national application:<br>country or Member<br>of WTO     | regional application:* regional Office | international application:<br>receiving Office |  |
| item (1)<br>17/12/2002<br>17 December 2002   | 60/433788  | us   |  |  |  |
| item (2)   |  |  |  |  |  |
| item (3)   |  |  |  |  |  |
| item (4)   |  | <u> </u>   |  |  |  |
| item (5)   |  |  |  |  |  |
| Further priority claims  | are indicated in the Suppleme  | ental Box.   |  | <u></u>  |  |
|  | nested to prepare and transmit to filed with the Office which for (1) item (2) |  | ational application is the re          |  |  |
| * Where the earlier applicati  | ion is an ARIPO application, in<br>Member of the World Trade Or,               | ndicate at least one country                             | party to the Paris Conve               | ention for the Protection of                   |  |
|  |  | guillation for mich that c                               |  |  |  |
| Box No. VII INTERNAT   | FIONAL SEARCHING AUT   | ГНОКІТУ  |  | ·  |  |
| Choice of International Seinternational search, indicate   | arching Authority (ISA) (if the the Authority chosen; the two                  | wo or more International So<br>Letter code may be used): | earching Authorities are c             | competent to carry out the                     |  |
| ISA / EPO  |  |  |  | · · · · · · · · · · · · · · · · · · ·          |  |
| Request to use results of ex<br>International Searching Auth   | •  | -  |  | t by or requested from the                     |  |
| Date (day/month/year)  | Numb   | er Count   | stry (or regional Office)              |  |  |
| Box No. VIII DECLARA   | TIONS  |  |  |  |  |
|  | are contained in Boxes Nos. ate in the right column the num                    |  |  | Number of declarations                         |  |
| Box No. VIII (i)   | Box No. VIII (i) Declaration as to the identity of the inventor :              |  |  |  |  |
| Box No. VIII (ii) Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent : |  |  |  |  |  |
| Box No. VIII (iii)   | Declaration as to the applied date, to claim the priority of                   |  | ie international filing                | :  |  |
| Box No. VIII (iv)  | Declaration of inventorship<br>United States of America)                       | o (only for the purposes of                              | the designation of the                 | :  |  |
| Box No. VIII (v)   | Declaration as to non-preju  | ıdicial disclosures or excep                             | ptions to lack of novelty              | :  |  |

Form PCT/RO/101 (third sheet) (July 2002; reprint July 2003)

| Sheet | ΝIα  |  | 6 |  |  |
|-------|------|--|---|--|--|
| Sueer | INO. |  |   |  |  |

| Box No. IX CHECK LIST; LA JAGE OF FILING  |   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| This international application contains:  (a) in paper form, the following number of  This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in of item |   |  |  |  |  |  |
| sheets:  right column the number of each item):  1.  fee calculation sheet  |   |  |  |  |  |  |
| request (including declaration sheets) : 6  | 2. Original separate power of attorney  | :  |  |  |  |  |
| description (excluding  | 3.  original general power of attorney  | :  |  |  |  |  |
| sequence listings and/or tables related thereto) : 4  | 4. Copy of general power of attorney; re  | eference number,   |  |  |  |  |
| claims : 2  | if any:   | :  |  |  |  |  |
| abstract : 1  | 5. statement explaining lack of signatur  |  |  |  |  |  |
| drawings :  | 6. priority document(s) identified in Bo  | x No. VI as  |  |  |  |  |
| Sub-total number of sheets: 13 sequence listings:   | 7. translation of international application (language):   |  |  |  |  |  |
| tables related thereto :  (for both, actual number of   | 8.  separate indications concerning depo or other biological material   | sited microorganism  |  |  |  |  |
| sheets if filed in paper form,<br>whether or not also filed in  | 9. sequence listings in computer readabl  | e form   |  |  |  |  |
| computer readable form; see (c) below)  | <ul> <li>(indicate type and number of carriers</li> <li>(i) ☐ copy submitted for the purposes o</li> </ul>  | f international search under                                   |  |  |  |  |
| Total number of sheets : 13   | Rule 13ter only (and not as part of  (ii) (only where check-box (b)(i) or (c)(i)  additional copies including, where  |  |  |  |  |  |
| (b) only in computer readable form (Section 801(a)(i))  | purposes of international search u  | ider Rule 13 <i>ter</i> :                                      |  |  |  |  |
| (i)  sequence listings  | (iii) together with relevant statement a copies with the sequence listings r  | s to the identity of the copy or<br>nentioned in left column : |  |  |  |  |
| (c) also in computer readable form  | 10. tables in computer readable form relationship (indicate type and number of carriers,  |  |  |  |  |  |
| (Section 80 f(a)(ii)) (i) sequence listings   | (i) copy submitted for the purposes of Section 802(b-quater) only (and n  | finternational search under                                    |  |  |  |  |
| (ii) tables related thereto   | application)  | :  |  |  |  |  |
| Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the  | (ii) (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater): |  |  |  |  |  |
| sequence listings:  |   |  |  |  |  |  |
| tables related thereto:   | 11.  other (specify):   |  |  |  |  |  |
| (additional copies to be indicated under<br>items 9(ii) and/or 10(ii), in right column)   | II. Guide (specify).  | •  |  |  |  |  |
| Figure of the drawings which  | Language of filing of the   |  |  |  |  |  |
| should accompany the abstract:  | international application:  | T.   |  |  |  |  |
| Box No. X SIGNATURE OF APPLICAN Next to each signature, indicate the name of the person sign  | , AGENT OR COMMON REPRESENTATI<br>ing and the capacity in which the person signs (if such cap   | V E.<br>acity is not obvious from reading the request).        |  |  |  |  |
| For 1120 11   |   |  |  |  |  |  |
| Avecia Limited / HARDY, Jonathan Ma   | k & DINIZO, Stephen Edward  |  |  |  |  |  |
| 1////   |   |  |  |  |  |  |
| REVELL, Christopher   |   |  |  |  |  |  |
| <u> </u>  | — For receiving Office use only   |  |  |  |  |  |
| 1. Date of actual receipt of the purported  2. Drawings:  |   |  |  |  |  |  |
| international application: received:  |   |  |  |  |  |  |
| 3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:   |   |  |  |  |  |  |
| 4. Date of timely receipt of the required corrections under PCT Article 11(2):  |   |  |  |  |  |  |
| 5. International Searching Authority (if two or more are competent): ISA /  6. Transmittal of search copy delayed until search fee is paid  |   |  |  |  |  |  |
|   | For International Bureau use only   |  |  |  |  |  |
| Date of receipt of the record copy by the International Bureau:   |   |  |  |  |  |  |

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